



Rollover Form

- Complete this form to initiate a rollover of assets from another 529 plan or Coverdell Education Savings Account (“CESA”) to an existing Bright Directions® College Savings Program Account.
- **Please Note:** If you do not already have a Bright Directions® College Savings Program Account, you must complete and enclose an Enrollment Form with this Rollover Form.
- Check with your current 529 program manager or CESA custodian to determine if a Medallion Signature Guarantee is required. Many do require a Medallion Signature Guarantee. Without this, the rollover may be delayed. If a Medallion Signature Guarantee is required, please wait to sign until you are in the presence of the bank officer or official that will provide the Medallion Signature Guarantee.
- Return this completed form and the other required documents to:

Regular Mail:

Bright Directions College Savings Program
PO Box 82623
Lincoln, NE 68501

Overnight Mail:

Bright Directions College Savings Program
6811 South 27th Street
Lincoln, NE 68512

- For assistance, call toll-free: 866 – 722 – 7283 or contact your financial advisor

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Type of Rollover (check one)

- Rollover funds from another 529 college savings plan.
- Transfer from a Coverdell Education Savings Account (CESA).

2

Bright Directions® College Savings Program Account Information

 For Office Use Only

Account Number (If you have not established an account, also complete and enclose an Enrollment Form.)

Account Owner/Custodian's Social Security Number

Account Owner/ Custodian's First Name

MI

Last Name

Phone _____

Email _____

Beneficiary First Name

MI

Last Name

Beneficiary Social Security Number

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Current 529 Program Manager or CESA Custodian

Please confirm the address below with your current provider. We will forward this Rollover Form to the address below to initiate the rollover process. **Please attach a copy of a current statement.**

Name of 529 Program Manager or CESA Custodian

Name of 529 College Savings Program and State Sponsor (if CESA, leave blank)

Mailing Address

City, State, ZIP

Program Phone Number _____

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Rollover Instructions to Current 529 Program Manager or CESA Custodian

Check One:

- Full Liquidation.**
Rollover ALL assets in my current account to my Bright Directions® College Savings Program Account.

Account Number _____

Estimated Value \$ _____

- Partial Liquidation.**
Rollover \$ _____ from my current account to my Bright Directions® College Savings Program Account.

Account Number _____

Your current 529 Program Manager or CESA Custodian must provide the breakdown between contributions and earnings, or the entire rollover will be classified as earnings.

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Rollover Instructions for the Bright Directions® College Savings Program (if applicable)

- THIS IS A ROLLOVER from another qualified tuition program (ie: 529 Plan) or CESA under which you previously paid a front-end sales charge (ie: class A shares) and is directed to be invested in Fee Structure A at NAV in a Bright Directions® College Savings Program Account.

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Authorize Rollover - You must sign below.

- I hereby authorize and direct the Rollover indicated above and certify that I have read the Program Disclosure Statement and understand the rules and requirements governing rollovers from other 529 plans or CESAs.
- IMPORTANT => I certify that this Rollover is for the same Beneficiary and that there have been no other rollovers between 529 plans for this Beneficiary in the previous 12 months OR that this Rollover is for a different Beneficiary and the Beneficiary on the Bright Directions® College Savings Program Account is a Member of the Family (as defined in the Program Disclosure Statement) of the Beneficiary on the current 529 plan account I am rolling over.**
- I certify that all the information contained herein is true and correct.
- I acknowledge that the Rollover of funds to the Bright Directions® College Savings Program may have special tax consequences and that neither the Trustee, the Program Manager, nor the Pool will be responsible for the tax consequences of any such Rollover.
- I acknowledge that the Rollover proceeds will be invested according to my current investment election on file with the Bright Directions® College Savings Program for my Account at the time the assets are received.
- By signing below, I authorize the Bright Directions® College Savings Program to inquire into issues relating to the transfer of my account and for the current 529 program manager or CESA custodian to provide information to the Bright Directions® College Savings Program as they may request.

X _____

Signature of Account Owner

Date

(Print Name Here) _____

The Bright Directions® College Savings Program will send the Account Owner confirmation of the Rollover or Transfer from another 529 plan or CESA custodian once funds are received.

MEDALLION SIGNATURE GUARANTEE

Note to Guarantor:
Medallion imprints must be fully legible and must not be dated or annotated.

Signature must be stamped with a Medallion Signature Guarantee by a qualified financial institution, such as a commercial bank, savings and loan, U.S. stock broker and security dealer, or credit union, that is participating in an approved Medallion Signature Guarantee Program. (A NOTARY SEAL IS NOT ACCEPTABLE.)